

Annual Registration Statement / Annual Report 2021
(Form 56-1 One Report)

Enclosure 3

Details of Head of Internal Audit and Head of Compliance

Details of Head of Internal Audit

Mr. Phisoot Suksangtip	Age 54 years
Position	Head of Internal Audit
Date of Appointment	28 March 2019 – Present

Education

- Bachelor of Accounting, Thammasat University
- Master of Accounting, Thammasat University

Training Programs

- Director Accreditation Program (DAP) No. 51/2006
Thai Institute of Director Association
- Anti - Corruption : The Practical Guide (ACPG) No.55/2021

Working Experience (In the last 5 years) and / or key positions

- 2008 - 2014 Vice President, Internal Audit Department,
Central Retail Corporation Public Company Limited
- 2014 - 2016 Vice President, Internal Audit Department,
Harg Central Department Store Company Limited
- 2016 - 2018 Senior Vice President, Internal Audit Department,
Harg Central Department Store Company Limited

Details of Head of Compliance Unit

Mrs. Lasanan Leelamanee	Age 56 years
Position	Head of Compliance
Date of Appointment	5 October 2020 - Present

Education

- Bachelor of Science (Mathematics), Prince of Songkla University
- Master of Economics, University of the Thai Chamber of Commerce
- Certificate in Data collection for research Technic, Mahidol University
- Certificate in Business and Economic Analysis, Chulalongkorn University

Training Programs

- Company Secretary Program (CSP) No. 85/2018, Thai Institute of Directors Association
- Board Reporting Program (BRP) No. 27/2018, Thai Institute of Directors Association
- Anti-Corruption : The Practical Guide (ACPG) No. 47/2018, Thai Institute of Directors Association
- Advances for Corporate Secretaries No. 1/2018, Thai Listed Companies Association

Work Experience

2015	Department Manager of Processing and Transportation Revenue Department, Thai Airways International Public Company Limited
2016 - 2018	Director of Corporate Transformation Management Office, Thai Airways International Public Company Limited
2018 - 2020	Vice President, Corporate Secretariat Department, and Company Secretary Thai Airways International Public Company Limited

Duties and Responsibilities

The Head of Compliance has duties and responsibilities to set the Company's compliance framework or procedures for executives and officers to severely perform tasks pursuant to both internal and external regulations as well as enhance knowledge and understanding, give advice, disseminate, and promote the accurate and efficient implementation of such framework or procedures independently from all units of the Company. The Head of Compliance also oversees and reviews through and standardized compliance with the regulations of different agencies as well as develops tools or information technology systems for overseeing regulatory compliance, for example, storing, searching, and disseminating systems of relevant regulations as well as non-compliance systems, to ensure efficiency in overseeing overall regulatory compliance.

The Head of Compliance is also responsible for developing and reviewing a compliance policy, a compliance charter, and a compliance framework regularly to be in accordance with international best practices and changing regulations.

The Board of Directors develops the policy and documents stipulating the duties of the Board of Directors, executives, and employees relating to compliance with relevant rules, laws, and regulations in

- Code of Conduct
- Company's practices
- Charter of Board of Directors
- Code of Conduct of Central Group

The Company also communicates with its employees to be aware of their duties and responsibilities of studying and developing an understanding of relevant laws and regulations in their jobs, and ensuring strict compliance in an accurate and complete manner.